

# Families of Dirac operators and quantum affine groups

Deforming twisted K-theory

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A.L. Carey, J. Mickelsson, and M. Murray: Bundle gerbes applied to quantum field theory. *Rev. Math. Phys* **12**, 65 (2000)

J. Mickelsson: Gerbes, (twisted) K-theory, and the supersymmetric WZW model. *Strasbourg 2002, Infinite dimensional groups and manifolds*, 93–107, IRMA Lect. Math. Theor. Phys., 5, de Gruyter, Berlin, 2004.

Antti Harju and Jouko Mickelsson, in preparation

Bernard Leclerc: Fock space representations of  $U_q(\widehat{sl}(n))$ . Lecture notes, Grenoble 2008,

[http://www-fourier.ujf-grenoble.fr/IMG/pdf/leclerc\\_rev.pdf](http://www-fourier.ujf-grenoble.fr/IMG/pdf/leclerc_rev.pdf)

# Outline of the talk

- Background: Twisted K-theory from Dirac type operators on loop groups
- $q$ -Deformation of the Dirac family
- The  $q$ -fermionic algebra and generalized affine Hecke algebra
- Quantum adjoint module
- Twisting and the central element in  $U_q(\hat{\mathfrak{g}})$

$X$  is a topological parameter space,  $Fred_*$  the space of self-adjoint Fredholm operators in a complex Hilbert space  $H$  with both positive and negative essential spectrum. This is a universal classifying space for  $K^1$ . Actually, one can take as the **definition**:

$$K^1(X) = \{\text{homotopy classes of maps } f : X \rightarrow Fred_*\}$$

Without loss of generality we can require that the Fredholm operators have a discrete spectrum. In the even case

$$K^0(X) = \{\text{homotopy classes of maps } f : X \rightarrow Fred\}$$

where  $Fred$  is the space of all Fredholm operators in  $H$ .

# The Dixmier-Douady class

The Chern character

$$ch : K^1(X) \rightarrow H^{odd}(X, \mathbf{Z})$$

is an additive map to odd cohomology classes. In particular, the degree 3 component  $DD(f) = ch_3(f)$  of  $[f] \in K^1(X)$  is called the **Dixmier-Douady class** of the gerbe defined by the the family  $f(x)$  of Fredholm operators. In the de Rham cohomology an equivalent construction of  $DD(f)$  comes from the family  $L_{\lambda\lambda'}$  of complex line bundles. One can choose the curvature forms  $\omega_{\lambda\lambda'}$  such that

$$\omega_{\lambda\lambda'} + \omega_{\lambda'\lambda''} = \omega_{\lambda\lambda''}$$

and with a partition of unity  $\sum \rho_\lambda = 1$  subordinate to the cover by the open sets  $U_\lambda$  one has

$$DD(f) = \sum_{\lambda} d\rho_\lambda \wedge \omega_{\lambda\lambda'}$$

and this does not depend on the choice of  $\lambda'$ .



# Twisting K-theory with the D-D class

The previous example can be generalized: Let  $P \rightarrow X$  be a principal bundle with a right action of a group  $\mathcal{G}$ . Fix a cocycle

$$\omega : P \times \mathcal{G} \rightarrow PU(H), \text{ with } \theta(p; g_1 g_2) = \theta(p; g_1) \theta(p g_1; g_2)$$

where  $PU(H) = U(H)/S^1$ . Then a map  $f : P \rightarrow Fred(H)$  with

$$f(pg) = \theta(p; g)^{-1} f(p) \theta(p; g)$$

defines an element in the twisted K-group  $K^0(X; \theta)$ . The group  $K^1$  is defined similarly using  $Fred_*$  instead of  $Fred$ .

The groups  $K^*(X, \theta)$  actually depend only on the class of the  $PU(H)$  bundle defined by the cocycle  $\theta$ . This class is the Dixmier-Douady class in  $H^3(X, \mathbf{Z})$ .

# Example: The WZW model

Families of Dirac operators  $D_A$  transform covariantly under the (projective) gauge group action, defining an element in  $K^*(\mathcal{A}/\mathcal{G}, \theta)$ , where  $\theta$  is defined by the projective action  $g \mapsto \hat{g}$  in the Fock spaces? **False:** The quantized Dirac operators are essentially positive, we need operators with both negative and positive essential spectrum. Solution: Hamiltonians in supersymmetric WZW model:

$$\begin{aligned}Q_A &= i\psi_a^n T_a^{-n} + \frac{i}{12} \lambda_{abc} \psi_a^n \psi_b^m \psi_c^{-n-m} + i(k + \kappa) \psi_a^n A_a^{-n} \\ \psi_a^n \psi_b^m &+ \psi_b^m \psi_a^n = 2\delta_{ab} \delta_{n,-m} \\ [T_a^n, T_b^m] &= \lambda_{abc} T_c^{n+m} + k\delta_{ab} n \delta_{n,-m}.\end{aligned}$$

Here  $A_a^n$ 's are the Fourier components of a vector potential on the circle.

# The WZW model

The family  $Q_A$  transforms covariantly under the projective representation of level  $k + \kappa$  the loop group  $\mathcal{G} = LG$  defining an element in  $K(G, k + \kappa)$  corresponding to the D-D class  $[H]$  in  $H^3(G, \mathbf{Z})$  equal to  $k + \kappa$  times the basic class in  $H^3(G) = \mathbf{Z}$  when  $G$  is a simple simply connected compact Lie group. Actually, since  $\mathcal{A}/\Omega G = G$  and  $G \subset LG$ , we have an  $G$  equivariant class, element of  $K_G^*(G, H)$ .

Morally, the family  $Q_A$  is a family of Dirac operators on the loop group  $LG$ , coupled to a gauge connection  $A$  on a complex line bundle over  $LG$ .

# Quantum affine algebra

$\mathfrak{g}$  a simple finite-dimensional Lie algebra,  $\hat{\mathfrak{g}}$  the associated affine Lie algebra. The quantum affine algebra  $U_q(\hat{\mathfrak{g}})$  is generated by

$e_0, e_1, \dots, e_\ell, f_0, f_1, \dots, f_\ell, K_0, K_1, \dots, K_\ell, K_0^{-1}, \dots, K_\ell^{-1}$  with the relations

$$[e_i, f_i] = \delta_{ij} \frac{K_i - K_i^{-1}}{q - q^{-1}}, K_i K_j = K_j K_i$$

$$K_i e_j K_i^{-1} = q^{\alpha_{ij}} e_j, K_i f_j K_i^{-1} = q^{-\alpha_{ij}} f_j$$

$$\sum_{k=0}^{1-a_{ij}} (-1)^k \begin{bmatrix} 1 - a_{ij} \\ k \end{bmatrix}_q e_i^{1-a_{ij}-k} e_j e_i^k = 0 (i \neq j)$$

$$\sum_{k=0}^{1-a_{ij}} (-1)^k \begin{bmatrix} 1 - a_{ij} \\ k \end{bmatrix}_q f_i^{1-a_{ij}-k} f_j f_i^k = 0 (i \neq j)$$

with

$$\begin{bmatrix} m \\ k \end{bmatrix}_q = \frac{m_q(m-1)_q \dots (m-k+1)_q}{k_q(k-1)_q \dots 1_q}$$
$$k_q = 1 + q + \dots + q^{k-1}$$

$q$  is a positive real number in this talk and the integers  $a_{ij}$  are the matrix elements of the Cartan matrix of  $\hat{\mathfrak{g}}$ .

# The Dirac operator

Let  $A_i^n$  with  $n \in \mathbf{Z}$  and  $i = 0, 1, \dots, \dim \mathfrak{g}$  be a basis for the  $q$ -affine adjoint module. Under  $\mathfrak{g}$  each 'Fourier mode'  $A^n$  transforms according to the adjoint representation of  $U_q(\mathfrak{g})$ , which is a  $q$ -deformation of the adjoint representation of  $\mathfrak{g}$ . The generator  $e_0$  increases the index  $n$  by one unit,  $f_0$  decreases it by one unit. For example, for  $\mathfrak{g} = \mathfrak{sl}(2)$  one has the explicit formulas

$$\begin{aligned}e_1 A_1^n &= f_0 A_1^n = 0, f_1 A_1^n = A_0^n, e_0 A_1^n = A_0^{n+1} \\e_1 A_0^n &= (q + q^{-1}) A_1^n, f_0 A_0^n = (q + q^{-1}) A_1^{n-1} \\f_1 A_0^n &= A_{-1}^n, e_0 A_0^n = A_{-1}^{n+1} \\e_1 A_{-1}^n &= (q + q^{-1}) A_0^n, f_0 A_{-1}^n = (q + q^{-1}) A_0^{n-1}, f_1 A_{-1}^n = 0 = e_0 A_{-1}^n \\K_1 A_i^n &= q^{2i} A_i^n = K_0^{-1} A_i^n.\end{aligned}$$

# The Dirac operator

The vectors  $A_i^n$  will be constructed as operators acting in a Fock space carrying a representation of  $U_q(\hat{\mathfrak{g}})$  such that the adjoint action is given by

$$x.A_i^n = \sum_{(x)} x' A_i^n S(x'') \text{ for } x \in U_q(\hat{\mathfrak{g}}),$$

where  $S : U_q(\hat{\mathfrak{g}}) \rightarrow U_q(\hat{\mathfrak{g}})$  is the antipode and  $\Delta(x) = \sum_{(x)} x' \otimes x''$  is the coproduct  $\Delta : U_q \rightarrow U_q \otimes U_q$ . We also need the Clifford algebra generated by elements  $\psi_i^n$  acting in the Fock space and transforming under  $U_q(\hat{\mathfrak{g}})$  according to the dual adjoint representation (which in fact is equivalent to the adjoint representation).

# The Dirac operator

The Dirac operator  $Q$  is acting in  $H_f \otimes H_b$  where  $H_f$  is the  $q$ -fermionic Fock space and  $H_b$  carries another highest weight representation of  $U_q(\hat{\mathfrak{g}})$ .

$$Q = \sum \psi_i^n \otimes B_i^{-n} + \frac{1}{3} \sum \psi_i^n A_i^{-n} \otimes 1$$

where  $B_i^n$  is another copy of the adjoint module, acting in the space  $H_b$ .

# The adjoint module

Let  $R$  be the universal R-matrix for the algebra  $U_q$ . An explicit construction is given in [KT]. Following [DG], we can then define a basis for vectors in a submodule  $A \subset U_q$  transforming according to an adjoint representation

$$ad_q(x)v = \sum_{(x)} x'vS(x'')$$

of  $U_q$  on itself. A basis is defined as

$$A_i^n = \sum K_{n,i}^{m,\alpha;p,\beta} (\pi_{m,\alpha;p,\beta} \otimes id)A,$$

where  $A = (R^T R - 1)/h$ , with  $e^h = q$  and  $R^T = \sigma R \sigma$ , where  $\sigma$  permutes the factors in the tensor product  $U_q \otimes U_q$ . Here  $\pi_{m,\alpha;p,\beta}$  are the matrix elements in the defining representation  $V$  of  $U_q$ .

# The adjoint module

For example, for  $\hat{\mathfrak{g}} = \widehat{\mathfrak{sl}}(2)$  the basis in the defining representation is  $v_i^n$  with  $n \in \mathbf{Z}$  and  $i = -1, 0, 1$  and  $\alpha, \beta = \pm$ . The numerical coefficients  $K$  come from the identification of the basis of the adjoint representation as linear combinations of the basis vectors in  $V \otimes V$ .

The action of the Serre generators in the defining representation is

$$\begin{aligned}e_1 v_+^n &= f_0 v_+^n = 0, f_1 v_+^n = v_-^n, e_0 v_+^n = v_-^{n+1}, e_0 v_+^n = v_-^{n-1} \\e_1 v_-^n &= v_+^n, f_0 v_-^n = v_+^{n-1}, e_0 v_-^n = 0 = f_1 v_-^n \\K_1 v_\pm^n &= q^{\pm 1} v_\pm^n = K_0^{-1} v_\pm^n.\end{aligned}$$

# Generalized affine Hecke algebra, $U_q(\widehat{\mathfrak{sl}}(2))$

The affine Hecke algebra for  $\widehat{\mathfrak{g}}$  is defined through the relations [Leclerc] coming from the R-matrix  $\check{R} = \sigma R$  in the tensor product  $V^0 \otimes V^0$ . The matrix satisfies

$$(\check{R} - q^{-1})(\check{R} + q) = 0,$$

since  $-q$  and  $q^{-1}$  are the only eigenvalues of the invertible matrix  $\check{R}$ . Denote by  $Y_1$  the shift operator which sends  $v_i^n \otimes v_j^m$  to  $v_i^{n+1} \otimes v_j^m$  and by  $Y_2$  the corresponding shift operator acting on the second tensor factor. The matrix  $\check{R}$  acting on  $V$  is then defined using the relations

$$\check{R}Y_1 = Y_2\check{R}^{-1}, \quad \check{R}Y_2 = Y_1\check{R} + (q - q^{-1})Y_2.$$

Actually, the second relation follows from the first and the minimal polynomial relation.

# Generalized affine Hecke algebra

Now the braiding relations are given by setting the ideal in the tensor algebra of  $V$  generated by the elements

$$(q^{-1} + \check{R})(V \otimes V)$$

equal to zero. These have in particular the consequence that any  $v_i^n v_j^m$  with  $n > m$  can be written as a linear combination of vectors  $v_k^p v_l^q$  with  $p + q = n + m$  and  $p \leq q$ . In the zero mode space  $V^0$  the meaning of the braiding relations is that they project out the 'symmetric' part of the tensor product  $V^0 \otimes V^0$ . The 3-dimensional representation is the eigenspace of  $\check{R}$  with eigenvalue  $q^{-1}$  and the 1-dimensional component corresponds to the eigenvalue  $-q$ .

# Generalized affine Hecke algebra

To complete the construction of the Dirac operator we need also the generalized Clifford algebra in the coadjoint representation. The algebra is generated by vectors  $\psi_i^n$  with  $n \in \mathbf{Z}$  and  $i = 1, 0, -1$ . The defining relations are given by braiding relations and an invariant (nonsymmetric) bilinear form. The braiding relations are defined recursively like in the case of  $V, V^*$ , with the difference that since the R-matrix  $\check{R}$  in the adjoint representation has 3 instead of 2 different eigenvalues, which are now  $-q^{-2}, q^2, q^{-4}$ , with multiplicities 3, 5, 1 respectively.

# Generalized Hecke algebra

The negative eigenvalue corresponds again to a 3-dimensional 'antisymmetric' representation and the positive eigenvalues to a 6-dimensional 'symmetric' representation; the latter contains the 1-dimensional trivial representation.

The Hecke algebra is replaced by a generalized Hecke algebra,

$$\begin{aligned}Y_1 Y_2 &= Y_2 Y_1 \\(\check{R} - q^2)(\check{R} - q^{-4})(\check{R} + q^{-2}) &= 0 \\ \check{R} Y_1 &= Y_2 \check{R}^{-1}, \quad \check{R} Y_2 = Y_1 \check{R} + (q^2 - q^{-2}) Y_2\end{aligned}$$

where the middle relation is the minimal polynomial of the diagonalizable matrix  $\check{R}$ .

The generalized symmetric tensors correspond to positive eigenvalues of  $\check{R}$ . In the Clifford algebra symmetrized products are identified as scalars times the unit. That is, we fix a  $U_q(\widehat{\mathfrak{sl}}(2))$  invariant bilinear form  $B$  and the Clifford algebra is defined as the tensor algebra over  $V$  modulo the ideal generated by

$$P(u \otimes v) - 2B(u, v) \cdot 1$$

where  $P$  is the projection on positive spectral subspace of  $\check{R}$ . In the case when  $V$  is the adjoint module for  $U_q(\widehat{\mathfrak{sl}}(2))$  one can fix  $B$  by identifying the first factor  $V$  as the dual  $V^*$  and using the natural pairing  $V^* \otimes V \rightarrow \mathbf{C}$ . Alternatively, one can view  $B$  as the projection onto the 1-dimensional trivial submodule inside of the 'symmetric module'.

# The action of $U_q(\widehat{\mathfrak{sl}}(2))$ on $Q$

In the nondeformed case one has for an infinitesimal gauge transformation  $X \in L\mathfrak{g}$

$$[X, Q] = (k + \kappa) \sum (-n) \psi_i^n X_i^{-n} = (k + \kappa) \langle \psi, dX \rangle$$

and for a family of operators  $Q_A = Q + (k + \kappa) \psi_i^n A_i^{-n}$

$$[X, Q_A] = (k + \kappa) \langle \psi, [A, X] + dX \rangle .$$

In  $q$ -deformed case  $A$  is to be understood as a vector in the adjoint module extended by  $\mathbf{C}c$ . Thus

$$x \cdot c v = x \cdot v + \lambda(x) c$$

with  $\lambda$  a linear form on  $U_q(\widehat{\mathfrak{sl}}(2))$ .