

# Spectral Triples, Aperiodic Tilings and Maximal Equicontinuous Factors

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# Introduction

What can spectral triples tell us about aperiodic order?

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There has been a lot of activity in constructing spectral triples for spaces (commutative spectral triples).

- manifolds, fractals, embedded Cantor sets, ultra metric Cantor sets ([Pearson](#), [Bellissard](#)).
- The discrete hull of an aperiodic tiling is a ultra metric Cantor set.
- Aperiodic order is modeled by aperiodic tilings ([quasicrystals](#)).

# Aperiodic order

How to measure aperiodic order?

Motivation: aperiodic media (solid state physics).

Modeled by aperiodic Delone sets (set of atomic positions)  $D \subset \mathbb{R}^n$   
and best visualised by tilings  $T$ .

Quasicrystals are modeled by quasiperiodic tilings (mostly canonical  
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Several approaches to quantify order in aperiodic media:

- **Diffraction:**  $D$  is ordered if its **diffraction spectrum is pure point** (Fourier transform of the autocorrelation of  $\sum_{x \in D} \delta_x$  is a pure point measure).

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- **Diffraction:**  $D$  is ordered if its **diffraction spectrum is pure point** (Fourier transform of the autocorrelation of  $\sum_{x \in D} \delta_x$  is a pure point measure).
- **Topology:**  $T$  has low topological complexity if  **$\dim K(A_T) \otimes \mathbb{Q}$  is finite.**

# Aperiodic order

Combinatorial complexity, patch counting function. Let  $R > 0$ ,  
 $patch_R(T, x) = \{ \text{tiles of } T \text{ meeting } B_R(x) \}$  ( $R$ -patch of  $T$  at  $x$ ).

$$p(R) = \#\{R\text{-patches up to translation occurring in } T\}$$

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**repetitivity exponent**  $\gamma$ :  $F(R) \sim cR^\gamma$ .

$F(R)$  sub-linear  $\Rightarrow T$  is periodic [**Lagarias**].

$\gamma = 1$  **linear repetitive** .

# Aperiodic order relations

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Canonical cut & project [[Antoine Julien, 2009](#)]:  
minimal combinatorial complexity  $\Leftrightarrow$  low topological complexity.

# Algebras from tilings

A function  $f$  on  $\mathbb{R}^n$  is called **pattern equivariant (PE)** with range  $R > 0$  if

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- Choose a point in each tile (in a PE way) (**puncture**). These points form a Delone set  $T^{pct}$ .

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- The reduction of this crossed product to  $\Xi$  is a groupoid  $C^*$ -algebra, the **discr. tiling algebra** of  $T$
- There is a further non-canonical reduction to  $X_T \subset \Xi$  yielding a  $\mathbb{Z}^n$  action  $\alpha$  and  $C(X_T) \rtimes_{\alpha} \mathbb{Z}^n$ .

The three non-commutative algebras are strongly Morita equivalent.

# Tiling dynamical systems

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$\Xi$  is metrisable. Tiling people like to choose the following **tiling metric**

$$d(T, T') = \inf\left\{\frac{1}{R+1} : \text{patch}_R(T, 0) = \text{patch}_R(T', 0)\right\}$$

but there is nothing canonical about it.

# Spectral triples

## Generalities

Let  $\mathcal{A}$  be a  $C^*$ -algebra. A **spectral triple**  $(\mathcal{A}, \mathcal{H}, D)$  for  $\mathcal{A}$  is

- 1 a (faithful) reps  $\pi$  of  $\mathcal{A}$  as an algebra of bounded operators on a Hilbert space  $\mathcal{H}$
- 2 an unbounded selfadjoint operator  $D$  on  $\mathcal{H}$  (the Dirac) such that
  - $\mathcal{B} := \{a \in \mathcal{A} : \|[D, \pi(a)]\| < \infty\}$  is dense in  $\mathcal{A}$ ,
  - $(D + i)^{-1}$  is compact.
  - further conditions (according to taste) (differential operator of degree 1, Poincaré duality, finite dimensional, ..., **for tilings?**)
  - (even case) a  $\mathbb{Z}_2$ -grading on  $\mathcal{H}$ : an operator  $\Gamma$  on  $\mathcal{H}$ ,  $\Gamma^* = \Gamma^{-1} = \Gamma$  such that  $[D, \Gamma]_+ = 0$ ,  $[\Gamma, \pi(a)] = 0$ .

# Spectral Triples

What can we do with it?

## 1 Spectral distance:

$$d_s(\chi_1, \chi_2) := \sup_{a \in \mathcal{A}} \{ |\chi_1(a) - \chi_2(a)| : \|[D, \pi(a)]\| \leq 1 \}.$$

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## 2 Zeta function: Given a trace $\text{Tr}$ and a compact operator $K$ we can ask, when, and on which domain of $\mathbb{C}$ ,

$$s \mapsto \zeta_K(s) := \text{Tr}(|K|^s)$$

is well defined / analytic / meromorphic ... Taking  $K = D^{-1}$  we obtain the **zeta function of the spectral triple**

$$\zeta(s) = \zeta_{D^{-1}}(s)$$

The left most value  $s_0 \in \mathbb{R}$  such that  $\zeta$  is analytic on  $\Re(s) > s_0$  **spectral dimension** of the spectral triple.

## 3 ...

# Spectral Triples for tilings

## Commutative triples I

**Rieffel:**  $(X, d)$  compact metric space.  $\mathcal{H} = L^2(X \times X \setminus \text{diag}, m)$ ,  $m$  symmetric measure.

$$\pi(f)\psi(x, y) = f(x)\psi(x, y)$$

$$D\psi(x, y) = \frac{1}{d(x, y)}\psi(y, x)$$

Then

$$\|[D, \pi(f)]\| = \text{ess - sup}_{(x,y)} \frac{|f(x) - f(y)|}{d(x, y)} = \|f\|_{\text{ess-Lip}}$$

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But when is  $D$  compact?

Note that this is actually an even spectral triple in disguise.

# Spectral Triples for tilings

## Commutative triples II

Christensen, Ivan: "sum's of two-dimensional spectral triples"  
(variant)

Construct a graph  $\mathcal{G} = \bigcup_n \mathcal{G}_n$ . Vertices  $\mathcal{G}_n^{(0)} \subset \mathcal{G}_{n+1}^{(0)} \subset X$  form a chain of Delone sets: There are strictly decreasing null-sequences of positive numbers  $(r_n)_n, (R_n)_n$ :

- $r_n$  is the minimal distance between points in  $\mathcal{G}_n^{(0)}$
- $R_n$  is the diameter of the largest ball in  $X \setminus \mathcal{G}_n^{(0)}$

In particular  $\mathcal{G}^{(0)}$  is dense in  $X$ .

Edges are defined between neighbours:  $(x, y) \in \mathcal{G}^{(1)}$  if  $d(x, y) \leq \ell R_n$  where  $\ell \geq 1$  is some fixed number.

$$m = \sum_{(x,y) \in \mathcal{G}^{(1)}} (\delta_{x,y} + \delta_{y,x})$$

### Lemma

If  $\ell \geq 2$  then  $d_s$  is Lipschitz equivalent to  $d$ .

# Spectral Triple for the discrete hull of a tiling

**Pearson, Bellissard**: spectral triple for an ultra metric Cantor set  $(X, d)$  (variant).

An ultra-metric has the property that for  $r > 0$  either  $B_r(x) = B_r(y)$  or  $B_r(x) \cap B_r(y) = \emptyset$ . In particular there is a unique  $r$ -covering of  $X$ .

$X$  being Cantor implies that  $\text{im}d$  corresponds to the values of a strictly decreasing null-sequence  $(\delta_n)_n$ . This gives rise to a **weighted Michon tree** (a Bratteli diagram of  $C(X)$ ), the weights being the diameters of the cylinder sets of  $R$ -patches.

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This can be applied to  $\Xi$  or to  $X$

## Lemma (Julien, Savinien)

*Let  $T$  be a primitive substitution tiling. The spectral dimension of the above spectral triples is the complexity exponent of the tiling.*

Why that metric?

Could replace the weights by something more inherent: frequencies.

### Lemma (Durand)

*If  $T$  is linear repetitive frequencies as weights yield a spectral distance which is Lipschitz equivalent to  $d$ .*

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Try **induction**: We know a spectral triple for  $C(X)$  consider  $(C(X) \rtimes_{\alpha} \mathbb{Z}^n, \mathcal{H}_{\alpha}, D_{\alpha})$  where

- $\mathcal{H}_{\alpha} = \ell^2(\mathbb{Z}^n, \mathcal{H})$
- $\pi_{\alpha}(f)\psi(n) = \pi(f \circ \alpha^n)$
- $D_{\alpha} = \sigma_x D + \sigma_z \hat{q}$  where  $\hat{q}\psi(n) = n\psi(n)$  and  $\sigma_x \sigma_z + \sigma_z \sigma_x = 0$ .

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Whereas  $D_{\alpha}$  has still compact resolvent,

$\mathcal{B} := \{a \in C(X_T) \rtimes_{\alpha} \mathbb{Z}^n : \|[D_{\alpha}, \pi_{\alpha}(a)]\| < \infty\}$  is no longer dense, **except if  $d$  is  $\alpha$ -invariant.**

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What is  $\mathcal{B}$ ?

# Maximal equicontinuous factor

## Generalities

Let  $(X, \alpha)$  be a compact metrisable  $\mathbb{Z}^n$ -dynamical system. It is equicontinuous if  $\{\alpha^k : k \in \mathbb{Z}^n\}$  is an equicontinuous family (there exists a compatible  $\alpha$ -invariant metric on  $X$ ).

There is always a **maximal equicontinuous factor**

$$(X, \alpha) \xrightarrow{\mu} (G, \rho)$$

( $\mu$  is a  $\mathbb{Z}^n$ -equivariant continuous surjection, the factor a universal repellent object).

**Auslander:** The maximal continuous factor  $(G, \rho)$  is a compact abelian group  $G$  with action  $\rho$  by rotation.

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For tilings the maximal equicontinuous factor is a well known object. For a Sturmian sequence with slope  $\theta$ ,  $G = S^1$  and  $\rho$  is rotation by  $\theta$ .

If  $\mu$  is almost one-to-one, the dynamical spectrum of  $(X, \alpha)$  and  $(G, \rho)$  coincide. This is the case for canonical cut & project tilings (for these  $G = \mathbb{T}^m$  ( $m$  is the codimension)).

# Maximal equicontinuous factor

Non commutative description

Recall  $\mathcal{B} = \{a \in C(X) \rtimes_{\alpha} \mathbb{Z}^n : \|[D_{\alpha}, \pi_{\alpha}(a)]\| < \infty\}$ .

## Theorem

*Consider the above situation*

$$(X, \alpha, d) \xrightarrow{\mu} (G, \rho, \delta)$$

*where  $d$  and  $\delta$  are compatible metrics on  $X$  and  $G$ , the latter invariant. If  $\mu$  is Lipschitz continuous then  $\mathcal{B} = \mu^*(C(G)_{Lip} \rtimes_{\rho} \mathbb{Z}^n)$ .*

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The triple  $(C(X) \rtimes_{\alpha} \mathbb{Z}^n, H_{\alpha}, D_{\alpha})$  is not a spectral triple but yields a non-commutative way of describing the maximal equicontinuous factor.

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$$(X, \alpha, d) \xrightarrow{\mu} (G, \rho, \delta)$$

*where  $d$  and  $\delta$  are compatible metrics on  $X$  and  $G$ , the latter invariant. If  $\mu$  is Lipschitz continuous then  $\mathcal{B} = \mu^*(C(G)_{Lip} \rtimes_{\rho} \mathbb{Z}^n)$ .*

The triple  $(C(X) \rtimes_{\alpha} \mathbb{Z}^n, H_{\alpha}, D_{\alpha})$  is not a spectral triple but yields a non-commutative way of describing the maximal equicontinuous factor.

When is  $\mu$  Lipschitz continuous?

# Maximal equicontinuous factor

Lipschitz continuity of the factor map

Consider a tiling dynamical system  $(X_T, \alpha)$

- choose  $d$  the "standard" tiling metric on  $X_T$
- suppose  $G = \mathbb{T}^m$
- choose  $\delta$  euclidean metric on  $G$

## Theorem

*For canonical cut & project tilings  $\mu$  is Lipschitz continuous if  $T$  is linearly repetitive.*

For Sturmian sequences the converse holds as well.

Either stick to the choice of metrics then this *conjecturally* characterises linear repetitivity, or choose a better metric  $d$ .

What can spectral triples tell us about aperiodic order?

## What can spectral triples tell us about aperiodic order?

- The spectral triples for tilings considered carry information about the combinatorial complexity.
- The induced triples describe the maximal equicontinuous factor.
- They are sensitive to the repetitivity properties of the tiling.
- The latter are sensitive to the number theoretical properties of the cut & project data.