



Subtitle:

How spacetime symmetries are encoded  
in local von Neumann algebras

# Outline

Joint work (Rev. Math. Phys. **22** (2010) 331-354) with **Roberto Longo** (Rome) and **Pierre Martinetti** (Göttingen/Rome)

- 1 Geometric modular action
- 2 Chiral CFT and diffeomorphisms
- 3 **Modular mixing** in free Fermi theory
- 4 **Charge splitting**
- 5 2D Boundary CFT
- 6 Conclusions



# References

## References

- Y. Kawahigashi, R. Longo: Noncommutative spectral invariants and black hole entropy, Commun. Math. Phys. **257** (2005) 193–225
- H. Casini, M. Huerta: Reduced density matrix and internal dynamics for multicomponent regions, Class. Quant. Grav. **26** (2009) 185005
- R. Longo, KHR: Local fields in boundary conformal QFT, Rev. Math. Phys. **16** (2004) 909–960



# GEOMETRIC MODULAR ACTION

## Geometric modular action

### Modular theory [Tomita-Takesaki]

For faithful normal states  $\varphi$  on von Neumann algebras  $M$ : The closable operators  $S m \Omega_\varphi := m^* \Omega_\varphi$  define assignment

$$(M, \varphi) \mapsto S_{M, \varphi} = J_{M, \varphi} \cdot \Delta_{M, \varphi}^{\frac{1}{2}}.$$

$$\sigma_t := \text{Ad } \Delta^{it}, \quad j := \text{Ad } J.$$

**Theorem:**  $\sigma_t(M) = M, \quad j(M) = M'.$

Local structure of AQFT: Let  $M \equiv A(O)$ . Then

$$Q \subset O \Rightarrow A(Q) \subset M.$$

### Geometric modular action:

$$\sigma_t(A(Q)) \stackrel{!}{=} A(f_t Q).$$



# Geometric modular action (ct'd)

## Physical relevance of MT and GMA

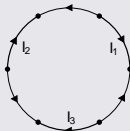
- Thermal equilibrium [Haag-Hugenholtz-Winnink]
- Unruh effect, Hawking radiation [Unruh, Sewell]
- Modular localization [Schroer]
- **Reconstruction of symmetries and spacetime**  
[Kähler-Wiesbrock, Buchholz-Summers, ...]
- Quantum information [Casini-Huerta]



## Geometric modular action (ct'd)

### Geometric modular action has been established for:

- wedge algebras in the vacuum state [Bisognano-Wichmann]
- lightcone algebras and doublecone algebras in the conformal vacuum state [Buchholz,Hislop-Longo]
- chiral CFT multi-interval algebras in specially manufactured states [Kawahigashi-Longo, 2005]



### The modular action is “fuzzy” for:

- massive doublecone algebras in the vacuum state [Figliolini-Guido,Saffary,Casini-Huerta]
- conformal doublecone algebras in KMS thermal states [Borchers-Yngvason]



## Geometric modular action (ct'd)

How can the “fuzzyness” be described in the general case?  
Is the flow described by a pseudo-differential operator  
[Schroer-Wiesbrock]?

**Multi-region algebras and boundary CFT exhibit a different kind of fuzzyness in the vacuum state:**

- Mixing [Casini-Huerta]
- Charge splitting [LMR] (see below)

Why multi-regions?

- Quantum information (entanglement)
- Boundary CFT
- Modular origin of diffeomorphisms



## CHIRAL CFT AND DIFFEOMORPHISMS



# Chiral CFT

## Setting

- Chiral fields:  $\phi(t, x) = \phi(t + x)$  or  $\phi(t - x)$
- Local algebras  $I \mapsto A(I)$ ,  $I \subset \mathbb{R}$
- Cayley transformation  $\mathbb{R} \rightarrow S^1$
- Local algebras  $I \mapsto A(I)$ ,  $I \subset S^1$
- Diffeomorphism invariance by automorphisms
- Generators: Virasoro algebra ( $c > 0$ )
- Vacuum state breaks diffeomorphism invariance
- ... preserves Möbius invariance ( $L_{-1}, L_0, L_{+1}$ )
- Assume complete rationality (Kawahigashi-Longo-Müger)



# Modular Theory and chiral CFT

## Theorem: (*Guido-Longo 1996*)

For an interval  $I \subset S^1$ , the modular group of  $(A(I), \Omega)$  equals  $\Delta^{it} = U(\Lambda_I(-2\pi t))$ , where  $\Lambda_I \subset \text{Möb}$  is the one-parameter group preserving  $I$  (= dilations, if  $I = \mathbb{R}_+$ ).

Hence the modular groups of any three intervals generate the Möbius group.

For two adjacent intervals  $I_1 \cup I_2 = I \setminus \{\text{point}\}$ ,  $(A(I_1) \subset A(I), \Omega)$  is a standard co-normal **half-sided modular inclusion**.

## Theorem: (*Guido-Longo-Wiesbrock 1998*)

For any standard co-normal half-sided modular inclusion  $(N \subset M, \Phi)$ , the modular groups of  $M$ ,  $N$  and  $N' \cap M$  generate a positive-energy representation of Möb, and hence a chiral CFT on  $S^1$  with  $\Phi$  as the vacuum vector and  $M = A(I)$ ,  $N = A(I_1)$ .



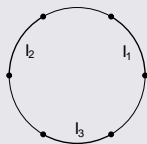
# Diffeomorphism invariance in chiral CFT

## Modular origin of $\text{Diff}(S^1)$ ?

- $n$ -Möbius groups generated by  $\frac{1}{n}L_{-n}, \frac{1}{n}L_0 + \frac{c}{24} \frac{n^2-1}{n}, \frac{1}{n}L_{+n}$ .
- subgroups  $\subset \text{Diff}(S^1)$ , together generate  $\text{Diff}(S^1)$ .
- cannot be modular groups in the vacuum state, because  $\omega$  is not invariant:
- $\gamma(T(x)) = \gamma'(x)^2 \cdot T(\gamma(x)) - \frac{c}{24\pi} D\gamma(x) \cdot \mathbf{1}$ , while  $\omega(T(x)) = 0$ .

Idea (Schroer):

- Möb<sup>(n)</sup> contain  $\Lambda^{(n)}(t)(z) = \sqrt[n]{\Lambda_I(t)(z^n)}$  for “symmetric”  $n$ -intervals  $E = \sqrt[n]{I}$ .
- Could be modular groups of  $n$ -intervals  $E = I_1 \dot{\cup} \dots \dot{\cup} I_n$  in suitable non-vacuum states.



# Modular origin of Möb<sup>(n)</sup>

## KL product states

Split isomorphism:

$$\chi : A(E) = \bigvee_{k=1}^n A(I_k) \rightarrow \bigotimes_{k=1}^n A(I_k)$$

Product states on  $A(E)$ :

$$\varphi_E := \left( \bigotimes_{k=1}^n \varphi_k \right) \circ \chi$$

Kawahigashi-Longo product states on symmetric  $n$ -intervals:

$$\varphi_k = \omega \circ \text{Ad } U(h_k), \quad h_k(z) = z^n \quad \text{for } z \in I_k$$

$\omega$  = vacuum state,  $U(h)$  implement diffeomorphisms  $h$ .

Extension to  $\widehat{A}(E) = A(E)'$  by expectation  $\varepsilon_E : \widehat{A}(E) \rightarrow A(E)$

$$\widehat{\varphi}_E := \varphi_E \circ \varepsilon_E$$





# MODULAR MIXING IN FREE FERMION THEORY



# Chiral free Fermi theory = CAR

$$\{\psi(x), \psi(y)\} = \delta(x - y).$$

Vacuum = quasifree state with 2-point function

$$C_2(x, y) = \langle \psi(x)\psi(y) \rangle = \frac{1}{2\pi i(x - y - i\varepsilon)}.$$

[Eckmann-Osterwalder]: Modular operator  $\Delta = e^{-K}$  is “2nd quantization” of a one-particle operator.

- This is true for the algebra of **any** region.
- [Figliolini-Guido] have given an abstract characterization of this operator as a resolvent.
- For **interval algebras**  $A(I)$ : Bisognano-Wichmann property, i.e.,  $\Delta_I = e^{-2\pi D_I}$ , e.g.  $D_I = i(L_1 - L_{-1})$  for  $I = \mathbb{R}_+ = S_+^1$ .



# Multi-regions in free Fermi theory

## For unions of doublecones in two dimensions

[Casini-Huerta] have computed the one-particle modular Hamiltonian  $K$  for free (massive or massless) Fermi fields, by formally solving the resolvent equation

$$C_2|_{E \times E} = (1 + e^{-K})^{-1}.$$

and derived the Heisenberg differential equation for the modular flow.

- For  $m > 0$ , the vacuum flow is fuzzy, as expected.
- For  $m = 0$ , the vacuum flow factorizes into flows on **unions of chiral intervals**, as expected.



## Modular mixing (ct'd)

For **multi-interval algebras**  $A(E) = \bigvee_k A(I_k)$

**Theorem:** (*Casini-Huerta 2009*)

As a kernel on  $E \times E$ :

$$K = -2\pi i \frac{\delta(\zeta(x) - \zeta(y))}{x - y}.$$

where for  $I_k = (a_k, b_k) \subset \mathbb{R}$ ,  $\zeta : \mathbb{R} \rightarrow E$  is the  $1 : n$  monotonous map  $\mathbb{R} \ni \zeta \rightarrow x_k \in I_k$  by

$$e^{\zeta(x)} = - \prod_{k=1}^n \frac{x - a_k}{x - b_k}.$$



## Modular mixing (ct'd)

Solving the Heisenberg equation

$$\partial_t \sigma_t(\psi(x)) = i[K, \sigma_t(\psi(x))],$$

one has to solve  $\zeta(x) = \zeta(y)$  with  $x, y$  in the same or in different intervals. Accordingly,  $K$  has a local term involving  $\delta'(x_k - y_k)$ , and a nonlocal term involving  $\delta(x_k - y_l)$  ( $k \neq l$ ).

The local term generates a flow  $x \rightarrow x(t)$ , induced by

$$\zeta(t) = \zeta - 2\pi t,$$

and the nonlocal term is responsible for the **mixing**  $O_{jk}$ :

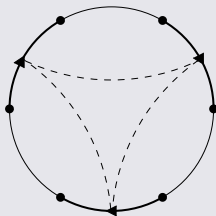
$$\sigma_t(\hat{\psi}(x_j)) = \sum_k O_{jk}(t) \cdot \hat{\psi}(x_k(t)), \quad \hat{\psi}(x) \equiv \sqrt{\frac{dx}{d\zeta}} \cdot \psi(x).$$



## Modular mixing (ct'd)

Under the modular evolution, the field in one interval mixes with the field in the other intervals on **associated orbits**  $x_k(t)$  in each interval.

$$\sigma_t(\hat{\psi}(x_j)) = \sum_k O_{jk}(t) \cdot \hat{\psi}(x_k(t))$$



“Modular teleportation”



## Modular mixing (ct'd)

### Remarks:

- The mixing on top of a GMA is necessary to avoid a contradiction with **Takesaki's Theorem**: invariance of cyclic subalgebras  $A(I_k) \subset A(E)$  would imply  $A(I_k) = A(E)$ .
- For symmetric intervals, the geometric part of the flow is the same as for the Kawahigashi-Longo product states, i.e., the subgroup of  $\text{Möb}^{(n)} \subset \text{Diff}(S^1)$  fixing the intervals.
- Therefore, the mixing is the **Connes cocycle** (difference of modular groups for different states on the same algebra).
- The vacuum state is invariant under the modular group, but **not** under  $\text{Möb}^{(n)} \subset \text{Diff}(S^1)$ . The mixing component therefore restores diffeomorphism invariance via a semidirect product  $(\mathbb{R} \subset \text{Diff}(E)) \ltimes (SO(2) \subset SO(n))$ .



## Modular mixing (ct'd)

### Proof by verification of KMS property: (LMR 2010)

For symmetric  $n$ -intervals the mixing matrix is

$$O(t) = \exp\left(\left(\xi(t) - \xi(0)\right) \cdot \Omega\right)$$

( $x = \tan \frac{\xi}{2}$ ,  $\Omega =$  universal constant skew symmetric matrix.)

Interesting feature:

$$\begin{aligned} \left\langle \sum_k O_{ik}(t) \cdot \widehat{\psi}(x_k(t)) \cdot \sum_l O_{jl}(s) \cdot \widehat{\psi}(y_l(s)) \right\rangle &\sim \\ &\sim \langle \psi(X(t)) \cdot \psi(Y(s)) \rangle \end{aligned}$$

where  $X = e^{\zeta(x_i)}$ ,  $Y = e^{\zeta(y_j)}$  and  $t$  and  $s$  are the modular parameters on  $A(E)$  and on  $A(\mathbb{R}_+)$ , respectively;

i.e., the mixing matrices  $O$  “undo” the  $1 : n$  map  $\mathbb{R}_+ \rightarrow E = \bigcup I_k$ ,  $X \mapsto x_k$ , and reduces the KMS condition on  $E = \sqrt[n]{I}$  to the KMS condition on  $\mathbb{R}_+ = \mu(I)$  ( $\mu \in \text{Möb}$ ).



# Modular mixing (ct'd)

## Speculations

- Geometric modular action on the complement
- Modular conjugation  $J_E$  ?
- $(\mathbb{R} \subset \text{Diff}(E)) \times (SO(2) \subset SO(n)) \subset \text{Diff} \times G$  ?
- Similar in 2 dimensions [CH]. How about 4D ?
- Quantum structure of spacetime (“modular wormholes”) ?



## CHARGE SPLITTING

# Charge splitting

## How general is this result?

“Almost all CFTs are sub-CFTs of a free Fermi theory ;-)”, e.g., the  $c = \frac{1}{2}$  stress-energy tensor ( $\mathbb{Z}_2$  fixpoints of a real Fermi field) or the free Bose field ( $U(1)$  fixpoints of a complex = two real Fermi fields)

$$A(I) \subset F(I).$$

## Modular group for $A(E) \subset F(E)$ ?

Cannot result by restriction of that for  $F(E)$ , because the latter does not preserve  $A(E)$ .

## Modular group for $\widehat{A}(E)$ ?

Cannot result by restriction of that for  $F(E)$ , because  $\widehat{A}(E) \not\subset F(E)$ .



## Charge splitting

Instead: Being fixpoint subalgebras, there is a vacuum-preserving global conditional expectation

$$\varepsilon : F(I) \rightarrow A(I).$$

So, the modular group for  $F(I)$  restricts to that for  $A(I)$ .

But for multi-intervals

$$A(E) \subset \begin{array}{c} F(E) \\ \varepsilon \downarrow \\ C(E) \end{array} \subset \widehat{A}(E).$$

Thus, the modular group restricts to that for  $C(E)$ .



## Charge splitting (ct'd)

The algebra  $C(E) = \varepsilon(F(E))$  is **strictly intermediate**: It contains even (neutral) products of Fermi fields in different components of  $E$  (“charge transporters” in DHR terminology), but no charge transporters for charges not implemented by the Fermi fields (eg,  $h = \frac{1}{16}$ ).

The modular group of  $F(E)$  restricts to  $C(E)$ . Acting on  $A(E) \subset C(E)$ , it takes elements of  $A(E)$  (neutral in every component  $I_k$ ) are transformed into elements of  $C(E)$  (neutral with charges distributed over the components).

In general, GMA can at best be expected for an intermediate “charge-split” algebra between  $A(E)$  and  $\widehat{A}(E)$ .

- Such an intermediate algebra does not always exist.
- If it exists: How can it be characterized?



## Towards a general theory

### Proposition: (*LMR 2010*)

If the vacuum modular group of  $\widehat{A}(E)$  preserves  $A(E)$ , then  $A(E) = \widehat{A}(E)$ .

If the adjoint action of the modular unitaries of  $A(E)$  preserves  $\widehat{A}(E)$ , then  $A(E) = \widehat{A}(E)$ .

Recall that the difference between  $A(E)$  and  $\widehat{A}(E)$  signals the presence of nontrivial superselection charges ( $\mu$ -index, [Kawahigashi-Longo-Müger]).

The charge structure therefore poses an obstruction against a simple mixing behaviour as for the free Fermi field.



## APPLICATION TO BOUNDARY CFT



## Boundary CFT

### Two intervals = one doublecone:

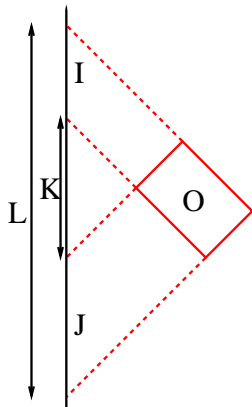
Assume a given chiral CFT. Let

$$O = I \times J \equiv \{(t, x) : t+x \in I, t-x \in J\} \subset M_+.$$

Define

$$A_+(O) := A(K)' \cap A(L).$$

Then  $O \mapsto A_+(O)$  is local (even Haag-dual) and contains  $A(I) \vee A(J)$ .



Generalization:  $I \mapsto B(I) \supset A(I)$  relatively local:

$$B_+(O) := B(K)' \cap B(L).$$

- Solves all “Cardy constraints” (local, covariant, OPE).
- = reconstruction of bulk fields from special symmetric Froba
- = 2D version of AdS-CFT



## BCFT (ct'd)

**Theorem:** (*Longo-KHR 2004*)

Every BCFT is intermediate between  $A(I) \vee A(J)$  and  $B_+(O) = B(K)' \cap B(L)$  for some chiral net  $I \mapsto B(I)$ .

- Let  $\mathcal{A} = A(I)$ ,  $\mathcal{B} = B(I)$ . Then  $\mathcal{B}$  is an  $\mathcal{A}$ - $\mathcal{B}$ -module (= subfactor).
- $\text{Rep}(\mathcal{A}) \subset \text{End}(\mathcal{A})$  acts on the  $\mathcal{A}$ - $\mathcal{B}$ -modules ( $\leftrightarrow$  defects?).
- The orbit of  ${}_A\mathcal{B}_B$  is a **nimrep**.
- ${}_A\mathcal{B}_A \simeq \bigoplus_i n_i \mathcal{H}_i$  is the Hilbert space of the boundary net  $B$ .
- $B_{BCFT}(O)$  as a bimodule of  $A(I) \otimes A(J)$  determines a coupling matrix  $Z$ .
- $\bigoplus Z_{ij} \mathcal{H}_i \otimes \mathcal{H}_j$  is the Hilbert space of the associated bulk net, when the boundary is removed (Longo-KHR 2009).
- $Z$  is a **modular invariant** iff  $B_{BCFT}(O) = B(K)' \cap B(L)$  (Böckenhauer-Evans-Kawahigashi, Longo-KHR).
- Admits classification ( $A$  completely rational).



## Geometric modular action in BCFT

Back to Geometric Modular Action:

$A_+(O) = A(K)' \cap A(L)$  is nothing but  $\widehat{A}(E)$ .

The KL-result can be applied with the state  $\widehat{\varphi}_E$ .

In general:  $\exists$  unique global conditional expectation

$$\varepsilon : B(L) \rightarrow A(L)$$

that commutes with diffeomorphisms.  $\varepsilon$  maps  $B_+(O) \subset B(L)$  into  $\widehat{A}(E) \subset A(L)$ .

The KL-result can be applied with the state  $\widehat{\varphi}_E \circ \varepsilon$ .



## Geometric modular action in BCFT (ct'd)

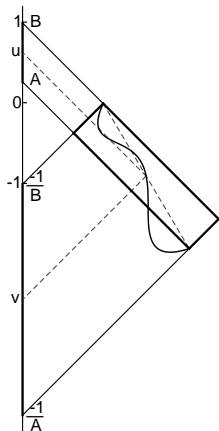
$\Rightarrow$  geometric modular flow in both intervals  $\Rightarrow$ :

**Resulting modular flow in doublecone  $I \times J$**

$$\frac{(u - a_1)(u - a_2)}{(u - b_1)(u - b_2)} \cdot \frac{(v - b_1)(v - b_2)}{(v - a_1)(v - a_2)} = \text{const.}$$

The flow departs from the Möbius flow for doublecones in the vacuum state without boundary. However, the difference is “very small”.

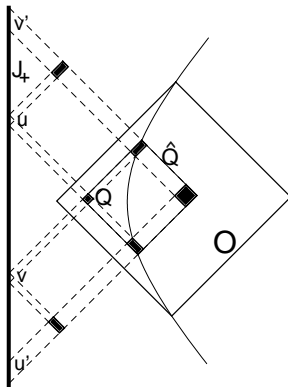
(Picture: transverse magnification  $\times 100$ ).



# Vacuum GMA in subtheories of free Fermi theories

**BCFT with  $B_+(O) = C(E) \equiv F(E)^{\mathbb{Z}_2}$**

- Local operators  $\phi(t, x)$  in the bulk are bi-localized operators  $\psi(u)^n \psi(v)^m$  on the boundary ( $n + m = \text{even}$ ).
- The vacuum modular flow of  $O$  takes  $\psi(u)^n \psi(v)^m$  to  $\psi(f_t u)^{n_1} \psi(f_t u')^{n_2} \psi(f_t v)^{m_1} \psi(f_t v')^{m_2}$ .
- Rearrange into neutral combinations.
- Hence chiral charge splitting mixes six bulk points in BCFT.



Enlarge



## CONCLUSIONS

# Conclusions

## Conclusions:

- $n$ -Möbius groups in chiral CFT arise as modular actions in Kawahigashi-Longo product states on  $n$  intervals.
- In free Fermi theory, the vacuum state has  $n$ -Möbius geometric modular action *plus mixing*.
- Mixing restores the invariance of the vacuum state under diffeomorphisms.
- Upon descent to gauge-invariant subtheories, mixing leads to **charge splitting**.
- **The vacuum modular group is sensitive to charge structure.**
- Special application (“two intervals = one doublecone”): **Boundary CFT**



# Open questions

## Open questions:

- What distinguishes the KL product states among many other states of the same making? (Mixing as a “UV-minimal” Connes cocycle?)
- What is the vacuum modular group for  $A(E)$  or  $\widehat{A}(E)$ ?
- How universal are the features of mixing and charge splitting?
- What is the unitary group with positive generator associated with half-sided modular inclusions?
- **What is the symmetry group of the vacuum, generated by modular groups for several multi-intervals?**
- Modular quantum spacetime with modular wormholes?



**THANK YOU**

